

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

14875-141US1 G1-A0220P-US

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

10/530696

INTERNATIONAL APPLICATION NO.
PCT/JP2003/013063

INTERNATIONAL FILING DATE
10 October 2003

PRIORITY DATE CLAIMED
11 October 2002

TITLE OF INVENTION

Cell Death-Inducing Agent

JC07 Rec'd PCT/PTO 08 APR 2005

APPLICANT(S) FOR DO/EO/US

Shuji Ozaki, Masahiro Abe, Masayuki Tsuchiya, Naoki Kimura and Shigeto Kawai

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 C.F.R. 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
copy of PCT/JP2003/013063 (107 pages)

CERTIFICATE OF MAILING BY EXPRESS MAIL
Express Mail Label No. EV 664066045 US
Date of Deposit: April 8, 2005

The following fees have been submitted

CALCULATIONS PTO USE ONLY

21. ☒ Basic national fee \$30022. ☒ Examination feeIf International preliminary examination report prepared by USPTO and all claims satisfy provisions of
PCT Article 33(1)-(4) \$100

All other situations \$200

\$500.00

23. ☒ Search feeSearch fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an
International Searching Authority \$100

International Search Report prepared and provided to the Office \$400

All other situations \$500

\$400.00

Total of 21, 22 and 23 =

\$900.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding
sequence listing or computer program listing filed in an electronic medium).
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets

Extra Sheets

Number of each additional 50 or fraction
thereof (round up to a whole number)

RATE

73-100 =

/50 =

X \$250

\$0.00

Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed
priority date (37 C.F.R. 1.492(h)).

\$0.00

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

Total Claims

29 - 20 =

9

x \$50

\$450.00

Independent Claims

5 - 3 =

2

x \$200

\$400.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$360

\$360.00

TOTAL OF ABOVE CALCULATIONS =

\$2,110.00

☐ Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$2,110.00

Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed
priority date (37 C.F.R. 1.492(i))

+

\$0.00

TOTAL NATIONAL FEE =

\$2,110.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

\$0.00

TOTAL FEES ENCLOSED =

\$2,110.00

Amount to be
refunded:

\$0.00

Amount to be
charged:

\$0.00

a. ☒ A check in the amount of \$2,110.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit
Account No. 06-1050. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be
filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

PTO Customer No: 26161

SIGNATURE

NAME

REGISTRATION NUMBER

*John W. Freeman*John W. Freeman
James K. Fraser, Ph.D., J.D.~~34,819~~ 29,066